

30 West University Dr. Arlington Heights, IL. 60004 USA +1 (847) 394-0150 communications@amca.org www.amca.org

AMCA International Affiliate Program Application

Explanation of and Agreement to Conditions of Affiliate Program

We hereby submit company information prior to our formal request for a license from the Air Movement and Control Association International Inc. and agree to comply with all provisions or other rules and regulations associated with the applicable program under which we become licensed. We further understand that an affiliate (also sometimes referred to as a CRP affiliate) is not a member, does not pay dues and is not allowed to use the AMCA International logo. We understand that an AMCA affiliate is obligated for the following:

- 1. A three-year pre-payment of fees and minimum assessments must be paid before testing may commence. An invoice in the amount of \$50,400 will be issued within seven days of the receipt of this agreement. Invoice must be paid within 30 days or agreement is null.
- Product to be tested must be received at one of AMCA's labs within 90 days of the date of this
 agreement. If product is not received within 90 days, agreement for pending CRP affiliate is
 withdrawn. Pre-payment less one-third is refunded.
- Certification must be completed within one year of date of this agreement. If product certification
 is not finalized within 12 months of date of this agreement, agreement for pending CRP affiliate is
 terminated and prepayment less two-thirds is refunded.
- 4. Future assessments after the initial three-year period will be based on actual sales of certified product. This is currently computed as 0.00396 x the dollar value of all AMCA Certified Ratings Program or other licensed product sales and subject to change.
 - The current minimum assessment is \$8,800 annually, subject to change.
 - The current maximum assessments are \$55,000 annually, subject to change.
- 5. We understand that charges will be made for data checking and product testing according to the non-member rates in effect at the time of testing. Estimated testing fees must be prepaid before testing will commence. Actual fees will be invoiced less payments received and any balance must be paid before the test results will be released.

| misrepres | sente | d, it could result in a loss of our license. |
|------------|--------|---|
| Company I | Name | e: |
| Signature: | | |
| | | If you typed your name instead of inserting a signature, check this box to indicate that your typed name above is acceptable as your signature. |
| Name: | | |
| Title: | | |
| Date: | | |
| Only a com | nplete | e agreement will allow the company to be considered for affiliate status |

We affirm the information contained in this agreement is correct. We understand that if the information is

To process your agreement for AMCA international affiliate status, the following information is required. Any forms to be completed are included in this packet.

| 2. | Completed AMCA International personnel contact list |
|----------|---|
| ۷. | Signed code of ethics form |
| 3. | Signed nondisclosure agreement |
| 4. | Your company's completed 2017 annual report of sales |
| 5. | Evidence of financial responsibility (financial statement or other) |
| | NOTE: The company financial information will not be disclosed without your prior written |
| | permission |
| 6. | Completed license agreement |
| 7. | A brief history of your company (one or two paragraphs, competed here) |
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| | |
| | |
| o | A picture and very brief biography of the AMCA decignated contact of your company |
| 8. | A picture and very brief biography of the AMCA designated contact of your company One set of catalogs illustrating all the air movement, air control, airflow measurement station. |
| 8. 9. | One set of catalogs illustrating all the air movement, air control, airflow measurement station |
| 9. | One set of catalogs illustrating all the air movement, air control, airflow measurement station and/or acoustic attenuation products manufactured or sold. |
| 9. | One set of catalogs illustrating all the air movement, air control, airflow measurement station and/or acoustic attenuation products manufactured or sold. Answers to the following questions: |
| 9. | One set of catalogs illustrating all the air movement, air control, airflow measurement station and/or acoustic attenuation products manufactured or sold. Answers to the following questions: Have you ever applied for affiliate status or AMCA membership before? |
| 9. | One set of catalogs illustrating all the air movement, air control, airflow measurement station and/or acoustic attenuation products manufactured or sold. Answers to the following questions: Have you ever applied for affiliate status or AMCA membership before? O Yes O No |
| 9. | One set of catalogs illustrating all the air movement, air control, airflow measurement station and/or acoustic attenuation products manufactured or sold. Answers to the following questions: Have you ever applied for affiliate status or AMCA membership before? O Yes O No Do you have any affiliation with a current AMCA International member? |
| 9. | One set of catalogs illustrating all the air movement, air control, airflow measurement station and/or acoustic attenuation products manufactured or sold. Answers to the following questions: Have you ever applied for affiliate status or AMCA membership before? O Yes O No |
| 9. | One set of catalogs illustrating all the air movement, air control, airflow measurement station and/or acoustic attenuation products manufactured or sold. Answers to the following questions: Have you ever applied for affiliate status or AMCA membership before? O Yes O No Do you have any affiliation with a current AMCA International member? |

AMCA International Personnel Contact List

Completion of this form will help us direct correspondence to the correct person at your company. If there are changes in personnel, please email membership@amca.org.

| Company Information | on | | | |
|----------------------|------------------|----------------|----------|----------------------|
| Company Name: | | | | |
| Address: | City: | | State: _ | Zip Code: |
| Country: | Phone Number: | | _ Fax Nı | umber: |
| Email: | Company Website: | | | Number of Employees: |
| Name & Title of CEO | /President: | | | |
| Designated Contact | t . | | | |
| Surname: | | _Given Name: | | |
| | | | | |
| Billing Representati | ive | | | |
| Surname: | | Given Name: | | |
| Title: | | Email Address: | | |
| | | | | |

| Accounts Payable | | |
|--------------------------|----------------|--|
| Surname: | Given Name: | |
| Title: | Email Address: | |
| Licensing Representative | | |
| Surname: | Given Name: | |
| Title: | Email Address: | |
| Laboratory Test Contact | | |
| Surname: | Given Name: | |
| Title: | Email Address: | |
| | | |



Air Movement and Control Association International Inc.
The International Authority on Air System Components Since 1917

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Code of Ethics for the Air Movement and Control Association International Inc.

Adopted February 10, 1960

This Code of Ethics is subscribed to and enacted for the ethical practice of the Members/CRP Affiliates of the Air Movement and Control Association International, Inc. to the end that the business of the industry may be conducted in a straightforward, fair and equitable manner to benefit designers, architects, engineers, contractors, purchasers, and AMCA International Members/CRP Affiliates alike. The purposes of the Code of Ethics are to encourage the improvement of the quality of the products of the industry, the business conduct of the Members/CRP Affiliates, and for the Members/CRP Affiliates to abide by self-imposed rules of good business conduct of mutual benefit to the public and to themselves.

To accomplish this, we as Members/CRP Affiliates of the Air Movement and Control Association International, Incorporated shall make every effort to ensure that:

- All representations made to a purchaser or user through advertising, catalogs, or other media are factual and properly descriptive of the performance of the products so advertised and sold.
- 2. Any guarantees or warranties made in connection with such products are clearly presented, fair to the purchaser or user.
- When it is necessary to substitute products for those designated, the seller will accurately
 represent its products and take steps to ensure that there is no confusion between
 products specified and products delivered.
- 4. Only those products which actually comply with established test specifications, standards, or codes, in all respects can be identified or labeled as conforming thereto.
- 5. No efforts are made to knowingly induce or attempt to induce the breach of existing lawful contracts between competitors and their customers or their suppliers or defame competitors by falsely impugning their conduct, ability to perform contracts, credit standing, or quality and performance of products.

- 6. The hiring or offering of employment to employees of competitors is done in good faith and not for the purpose of injuring, destroying, or preventing competition.
- 7. Participation and cooperation engaged in with other Members/CRP Affiliates is for the good of the industry, that decisions are arrived at independently, and not from any plan, common course of action, agreement, combination or conspiracy to fix or maintain the pricing of products, allocation of markets, restriction of competition or otherwise to violate the antitrust laws of any government, except as allowed by law or government policy.

| Company I | Name | 9: |
|------------|------|---|
| | | |
| Signature: | | |
| | | If you typed your name instead of inserting a signature, check this box to indicate that your typed name above is acceptable as your signature. |
| Name: | | |
| | | |
| Date: | | |

AMCA International Air Movement and Control Association International Inc.

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Nondisclosure Agreement

| | THIS AGREEM | ENT is ente | ered into | o by and be | tween th | e Air | Mov | ement and | d Co | ntrol Associa | ation |
|---------|---------------------|---------------|------------|---------------|------------|----------|--------|-------------|--------|----------------|-------|
| Interna | tional, Inc (AMCA | A) with its p | rincipal | offices at 3 | 0 W. Uni | versit | y Dri | ve, Arlingt | on H | leights, IL 60 | 047 |
| USA | ("Disclosing | Party") | and | | | | | | , | located | at |
| | | | (| "Receiving | Party") | for | the | purpose | of | preventing | the |
| unauth | orized disclosure | of Confider | ntial Info | ormation as | defined | below | ı. Th | e parties | agre | e to enter in | ito a |
| confide | ential relationship | with respec | t to the | disclosure | of certair | n prop | orieta | ry and Co | onfide | ential Informa | ation |
| ("Confi | dential Information | n"). This | agreem | ent shall ha | ave an e | effectiv | ve da | ate of | | | |
| Pursua | ant to this Agreem | ent, AMCA | agrees | that it shall | hold as o | confid | ential | all individ | dual o | company dat | a as |
| provide | ed by individual m | anufacturers | s of AM | CA scope pi | oducts, a | and to | not c | lisclose su | uch ir | nformation to | any |
| individ | ual or other entit | y absent th | e writte | n approval | of the co | ompa | ny th | at supplie | d th | e information | n. In |
| consid | eration for this un | dertaking to | hold su | uch informat | ion as co | nfider | ntial, | | | | |
| agrees | to supply such in | formation to | AMCA | for the purp | oses set | forth | here | in. | | | |

- **Definition** of Confidential Information. For purposes of this Agreement, "Confidential Information" shall include all information or material that has or could have commercial value or other utility in the business in which Disclosing Party is engaged. If Confidential Information is in written form, the Disclosing Party shall label or stamp the materials with the word "Confidential" or some similar warning.
- 2. Obligations of Receiving Party. Receiving Party shall hold and maintain the Confidential Information in strictest confidence for the sole and exclusive benefit of the Disclosing Party. Receiving Party shall carefully restrict access to Confidential Information to employees, contractors, and third parties as is reasonably required and shall require those persons to sign nondisclosure restrictions at least as protective as those in this Agreement. Receiving Party shall not, without prior written approval of Disclosing Party, use for Receiving Party's own benefit, publish, copy, or otherwise disclose to others, or permit the use by others for their benefit or to the detriment of Disclosing Party, any Confidential Information. Receiving Party shall return to Disclosing Party any and all records, notes, and other written, printed, or tangible materials in its possession pertaining to Confidential Information immediately if Disclosing Party requests it in writing.
- 3. Data Aggregation. Sales data will be aggregated to determine the size of our industry in four broad categories – a) centrifugal fans, b) axial fans, c) powered roof and wall ventilators, and d) louvers/dampers/shutters.
- Use of Aggregated Data. AMCA will disclose aggregated data from all reporting companies to each company that reports. AMCA will also endeavor to estimate sales of non-reporting companies, which it will report to all reporting companies. Aggregated and estimated sales and employment data will not be treated as Confidential Information, and will be used in support of AMCA's lobbying efforts on behalf of the Air Movement and Control industry, fighting for reasonable standards, codes and Department of Energy efficiency regulations.

5. **Nondisclosure of Confidential Information**. AMCA agrees not to use any individual company's confidential information disclosed to AMCA for any use or for any purpose other than to determine the aggregated market and employment of our industry. AMCA will not disclose any confidential individual company's information to any third parties or to any AMCA employees other than employees identified in Section 1 above, or the successors of such employees, who are required to have the information in order to aggregate data. AMCA agrees that it will take all reasonable measures to protect the secrecy of and avoid disclosure or use of Confidential Information in order to prevent it from falling into the public domain or the possession of persons other than those persons authorized under this Agreement to have any such information. Such measures shall include, but not be limited to, the highest degree of care that the receiving party utilizes to protect its own confidential Information of a similar nature.

AMCA agrees that all individual company's confidential sales data will be kept physically secure and maintained in strict confidence. This means that reasonable measures will be taken by AMCA to prevent access by unauthorized persons and AMCA will not leave materials containing Confidential Information unsecured in a work area. AMCA agrees to notify the reporting company in writing of any misuse or misappropriation of confidential individual company sales data of the disclosing party which may come to AMCA's attention.

- 6. **Disposal of Confidential Information**. Disaggregated individual company's Confidential Information will be held at AMCA for no more than 24 months, which is AMCA's policy regarding all member market statistical information. All Confidential Information disclosed to AMCA will be destroyed after aggregated markets and employment are determined.
- 7. **Term**. This Agreement shall remain in effect so long as _______ is disclosing sales data to AMCA. AMCA's obligations of confidentiality and non-disclosure shall survive the termination of this Agreement.
- 8. **Miscellaneous**. This Agreement shall be binding upon the Parties hereto, including any successors in interest. The failure to enforce any provision of this Agreement by AMCA or a company disclosing confidential information shall not constitute a waiver of any term hereof by such party.
- 9. **Governing Law**. This Agreement shall be governed by and construed and enforced in accordance with the internal laws of the State of Illinois, and shall be binding upon the parties to this Agreement in the United States and worldwide. The federal and state courts within the State of Illinois shall have exclusive jurisdiction to adjudicate any dispute arising out of this Agreement and the parties hereto consent to the personal jurisdiction of such courts.

IN WITNESS WHEREOF, this Nondisclosure Agreement is executed as of the date written below.

| Air Movement and Control Association | |
|--------------------------------------|---|
| International Inc. | |
| Company | Company |
| Mak Stum | |
| Signature | Signature If you typed your name instead of physically signing or using an Adobe verified signature, check here to confirm your typed name serves as your signature. |
| Mark Stevens | |
| Print Name | Print Name |
| Executive Director | |
| Title | Title |
| Date | Date |



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AMCA International 2017 Annual Report of Sales

This form is required pursuant to AMCA Bylaws, Article X Section 2

Section 1: Products Under AMCA's Scope

Please check all products that your company manufactures. Include both AMCA-certified and non-certified products.

| Air Movement Products | | |
|-------------------------------------|-----------------------------------|------------------------------------|
| ☐ Agricultural fans | ☐ Evaporative coolers | ☐ Range hoods (non-residential) |
| ☐ Air curtain units | ☐ Industrial & power generation | □ Downdraft fans |
| ☐ Air handlers | fans | ☐ SWSI & DWDI centrifugal fans |
| ☐ Central station air handling unit | ☐ Induced flow fans | ☐ Cabinet fans |
| ☐ Axial fans | ☐ Kitchen fans (non-residential) | ☐ Mechanical draft fans |
| ☐ Tubeaxial fans | ☐ Mixed flow fans | ☐ Package fan units (utility sets) |
| ☐ Vaneaxial fans | ☐ Power roof and wall ventilators | ☐ Pressure blowers (turbo |
| ☐ Jet tunnel fans | ☐ Power attic ventilators | blowers) |
| ☐ Ceiling exhaust fans | ☐ Smoke management fans | ☐ Plenum fans |
| ☐ Bath fans | ☐ Propeller fans | ☐ Make up air units |
| ☐ Ceiling fans | ☐ Positive pressure ventilators | ☐ High pressure fans |
| ☐ Circulating fans | (PPVs) | ☐ Cast iron volume fans |
| ☐ Energy recovery ventilators | ☐ Fan impellers | ☐ Inline fans |
| (ERVs) | ☐ Check this box if you also | ☐ Power generation fans |
| ☐ Heat recovery | have design control over | ☐ Tubular centrifugal fans |
| ventilators(HRVs) | fan impellers | |
| Air Control, Acoustic and Measi | urement Products | |
| ☐ Acoustical duct silencers | ☐ Spiral duct | (Dampers con't |
| ☐ Airflow measurement stations | ☐ Dampers | ☐ Guillotine dampers |
| ☐ Gravity roof ventilators | ☐ Backdraft dampers (shutters) | ☐ Heavy dampers |
| ☐ Fixed and adjustable louvers | ☐ Ceiling dampers | ☐ Isolation dampers |
| ☐ Penthouses | ☐ Fire and smoke dampers | ☐ Volume control dampers |
| ☐ Static ventilating devices (non- | ☐ Flue gas dampers (non- | |
| 2 2 | residential) | |

Section 2: Revenue from Sales

Please indicate the sales value of all products under AMCA's scope (both AMCA-certified and non-certified) shipped from the parent company and all other legal entities owned or controlled by the parent company during the calendar year ending December 31, 2017. Please note the exceptions for bath fans, kitchen fans and range hoods. In the case of these products, only commercial models or AMCA-certified residential models need to be reported. (Residential models that are not certified may be left out of your report.) Also, please note that the fan, louver, damper and damper actuators of air handlers are now considered part of AMCA's scope for assessment purposes, so please include an estimated sales value of these products in your reporting. For air handlers, please do not report the entire value of the air handler. Report only the portion of the sale due to its fan, louver, or damper. This is a total sales volume of products sold which will be used to determine the company's annual assessments.

| Total revenue from all AMCA scope product shipments: | □ US\$ | ☐ Other\$ |
|--|--------|-----------|
|--|--------|-----------|

Section 3: Company Information

Please indicate the name and location of the parent company responding to the survey and the names and locations of all subsidiaries that hold joint AMCA membership and whose sales are included in the reported values.

Please attach an additional page if there are more than three joint members.

| Full Member Company | Full Member Company Location |
|----------------------|-------------------------------|
| | |
| Joint Member Company | Joint Member Company Location |
| | |
| | |
| | |
| | |

Section 4: Respondent Information

AMCA requires that the form be signed by either the chief executive officer (CEO) or chief financial officer (CFO) of your company. AMCA certifies that the above information will be kept completely confidential and will not be disclosed to anyone without the express written permission of the reporting company.

| The information provided herein is atteste | d to by the respondent's | □ CEO d | or □ CFO |
|--|--------------------------|---------|----------|
| Name: | | | |
| Signature: | | | |
| Date: | Email Address: | | |

Submit the completed form online to AMCA with the button above (will send completed form via email) or print the form and return to:

AMCA International Membership 30 W. University Drive Arlington Heights, IL 60004 U.S.A.

or email to: cstone@amca.org.